

Charge and spin diffusion on the metallic side of the metal-insulator transition: a self-consistent approach

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Outline

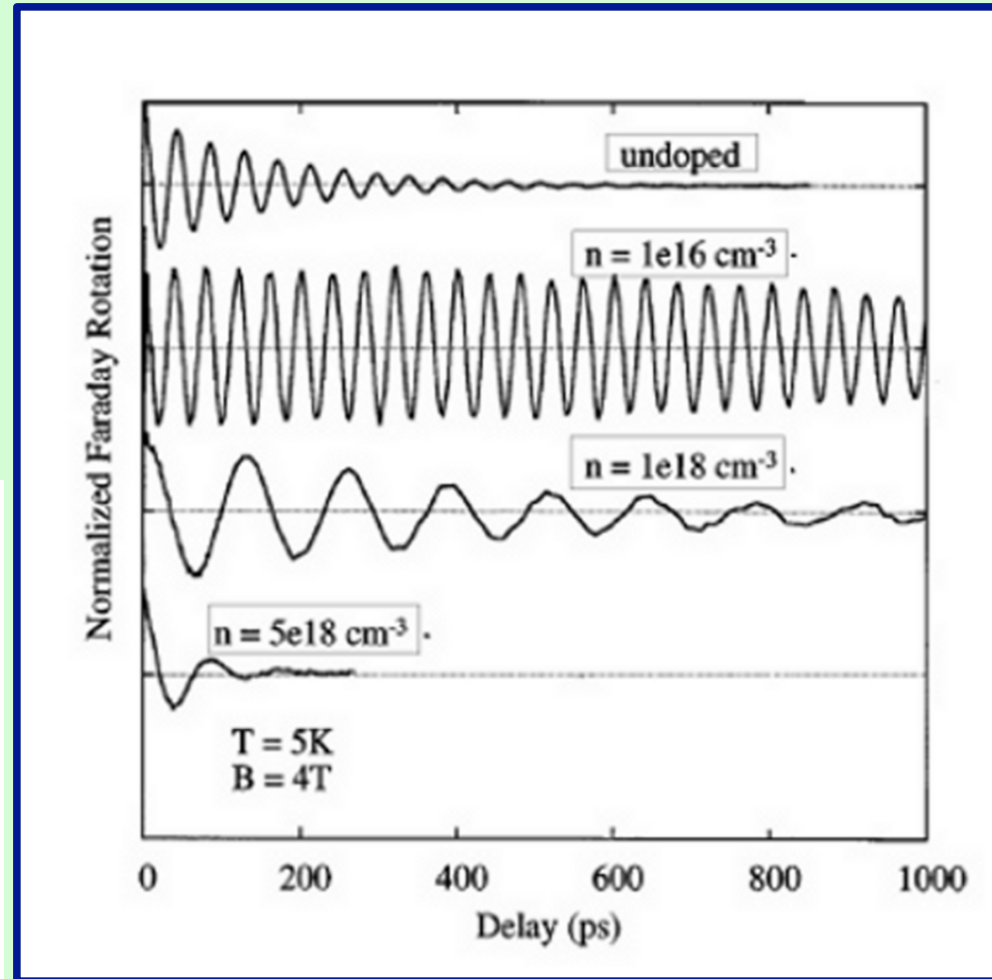
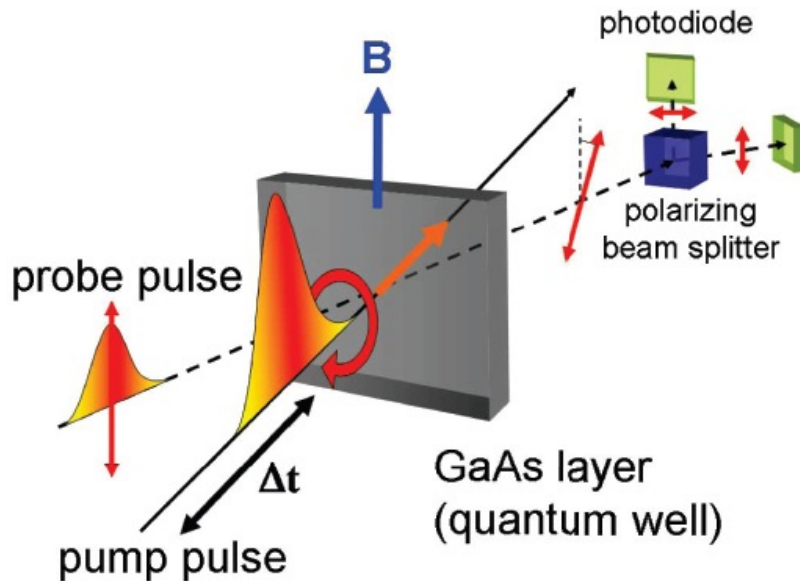
- **Experimental motivation**
- **Spin-orbit coupling in the impurity-band**
- **Charge and spin diffusion: phenomenological approach**
- **Charge and spin diffusion: self-consistent approach**
- **Theory, numerics and experiments**

Experimental motivation

Minimum ESR linewidth for barely metallic n-type silicon

Zarifis, Castner, PRB 1987

Very long spin lifetimes for bulk n-doped GaAs



Kikkawa, Awschalom, PRL 1998

Maximum spin relaxation times around the MIT

Kikkawa, Awschalom, PRL 1998

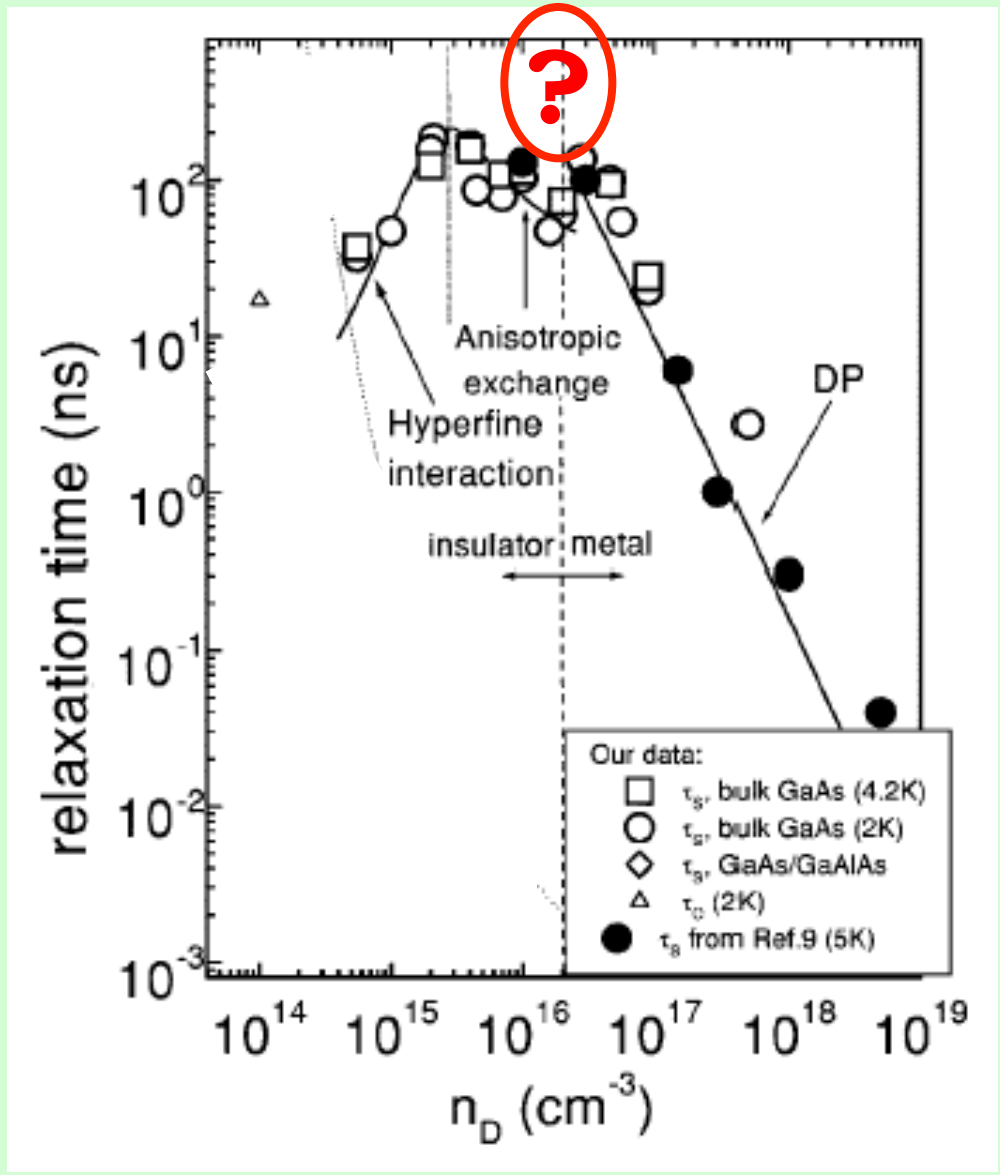
Very long spin lifetimes
for bulk n-doped GaAs

larger than 100 ns

R.I. Dzhioev *et al.*, PRB 2002

Dependence on Si
dopant density in GaAs

Maximum relaxation times
at MIT $n_c \approx 2 \times 10^{16} \text{ cm}^{-3}$



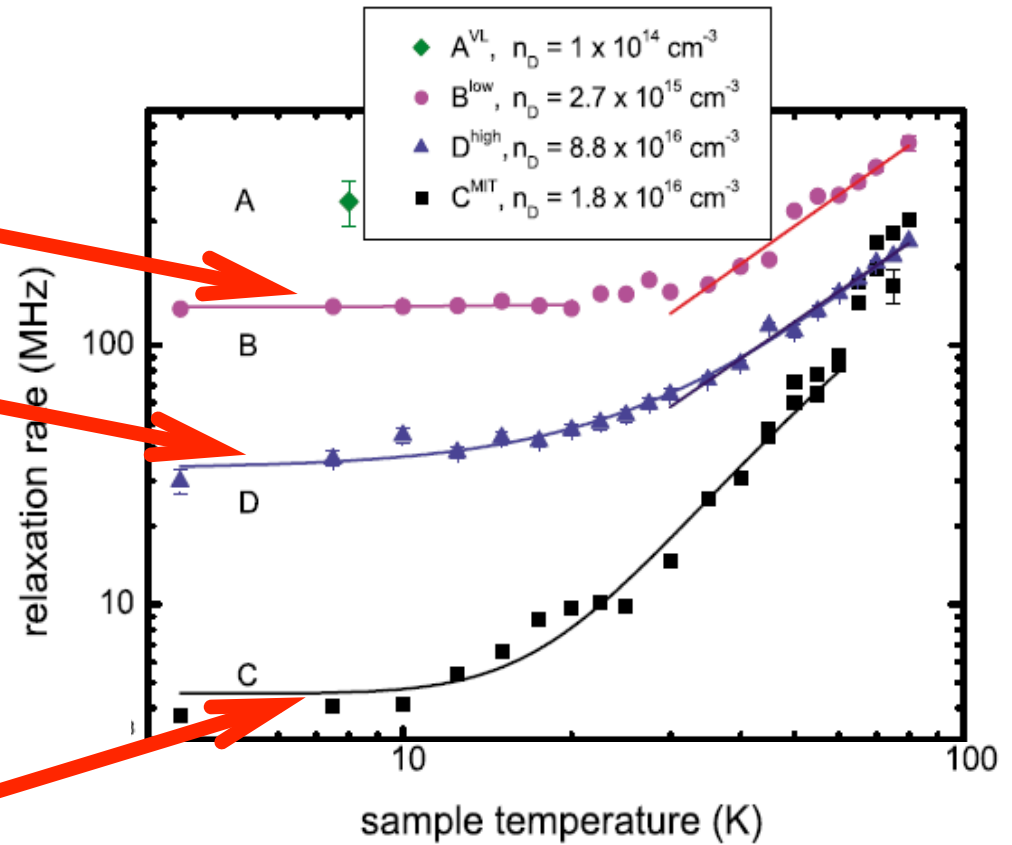
Spin noise spectroscopy for bulk n-doped GaAs in different transport regimes

Oestreich, Haug, Römer,
PRL 2005, 2008; PRB 2010

localized regime

metallic regime

MIT region

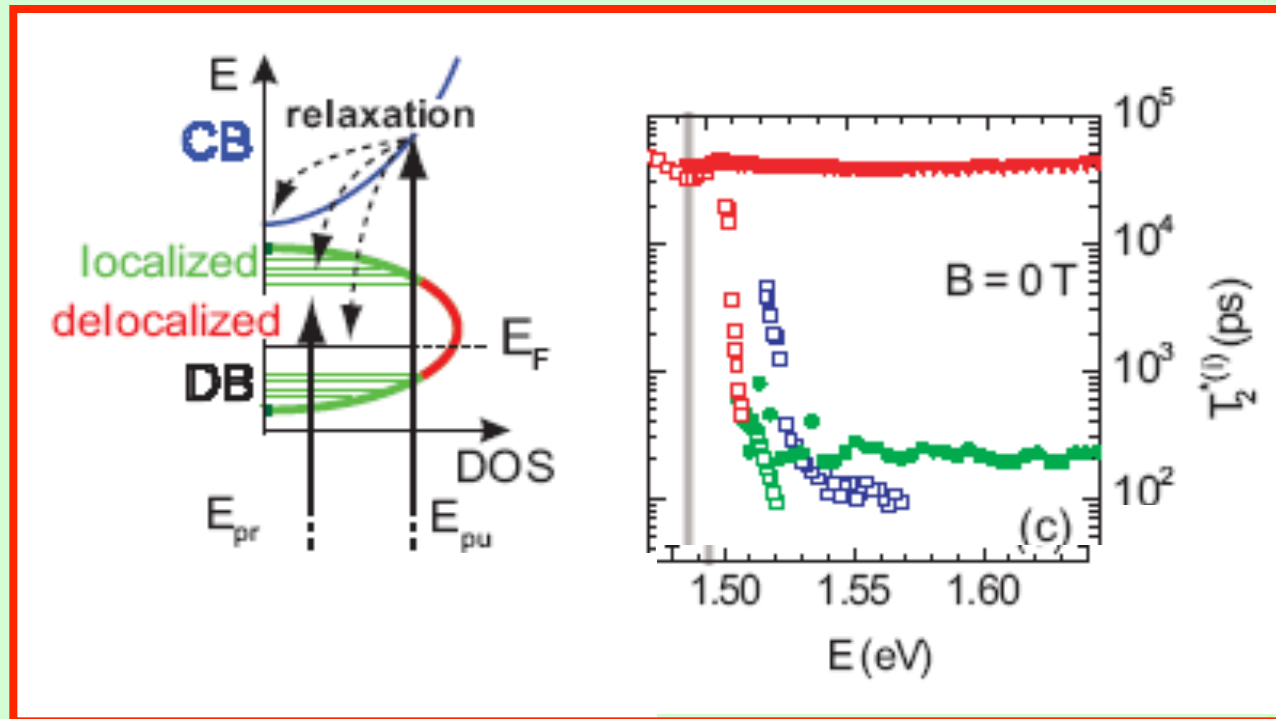


Very long spin lifetimes: **45 – 270 ns** for $n_c \approx 1.8 \times 10^{16} \text{ cm}^{-3}$ (MIT)

Connection between spin lifetime and transport properties

Mapping of spin lifetimes to electronic states in n-type GaAs near the metal-insulator transition

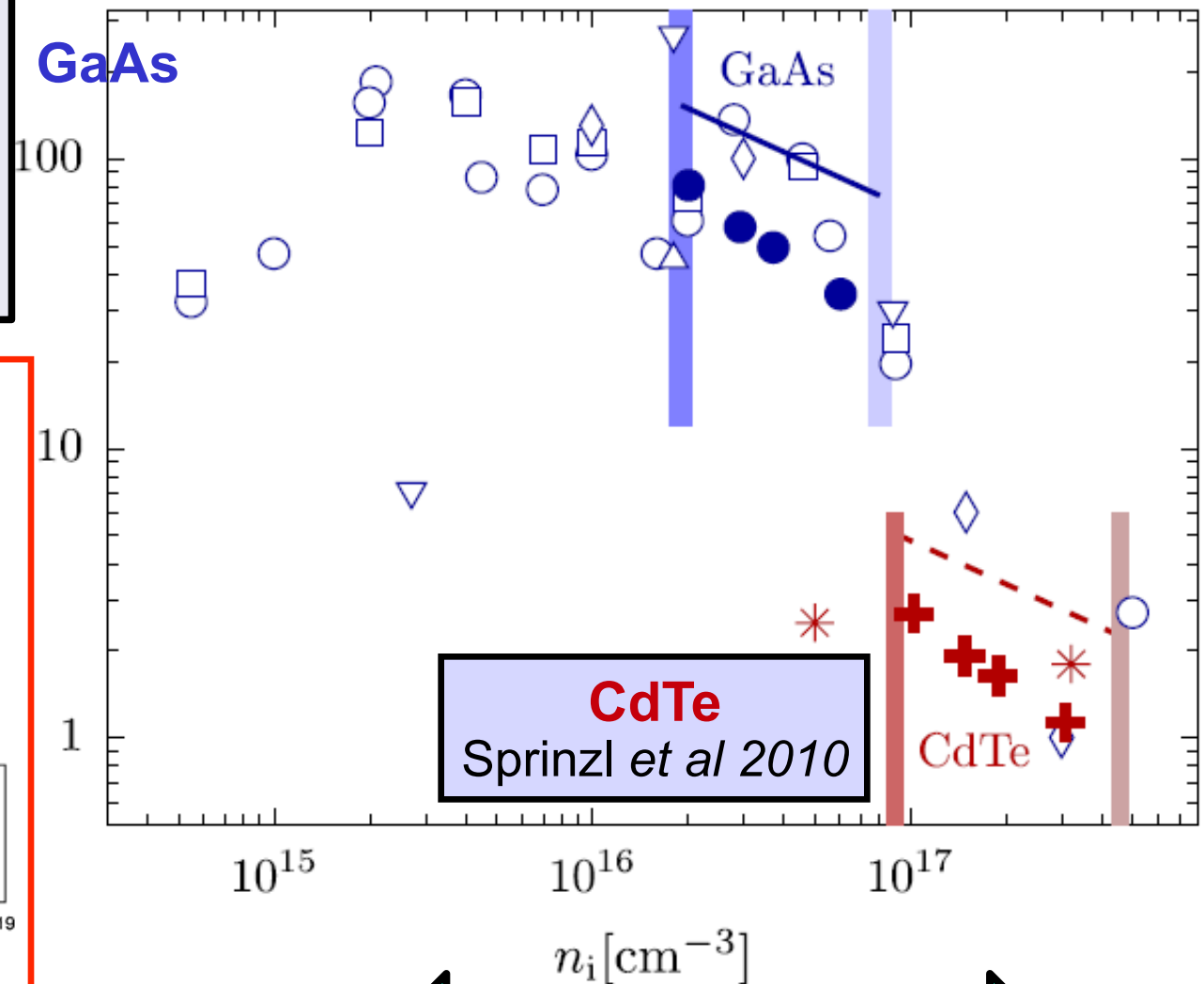
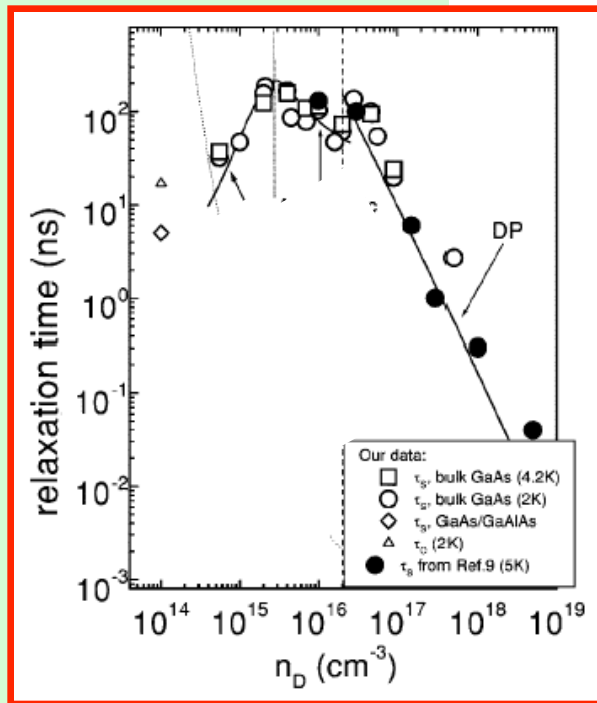
L. Schreiber, M. Heidkamp,
T. Rohleder, B. Beschoten,
and G. Güntherodt, arXiv:
0706.1884v1



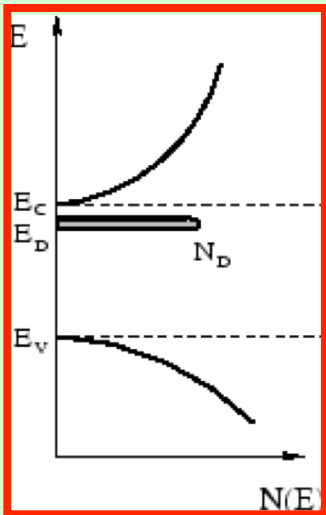
longest spin lifetimes for delocalized donor band states

Spin relaxation time for GaAs and CdTe: experiments, theory and numerics

- △ Oestreich *et al* 2005
- ▽ Römer *et al* 2010
- ◇ Kikkawa & Awschalom 1998
- Dzhioev *et al* 2002
- Our numerical result
- Our analytical result



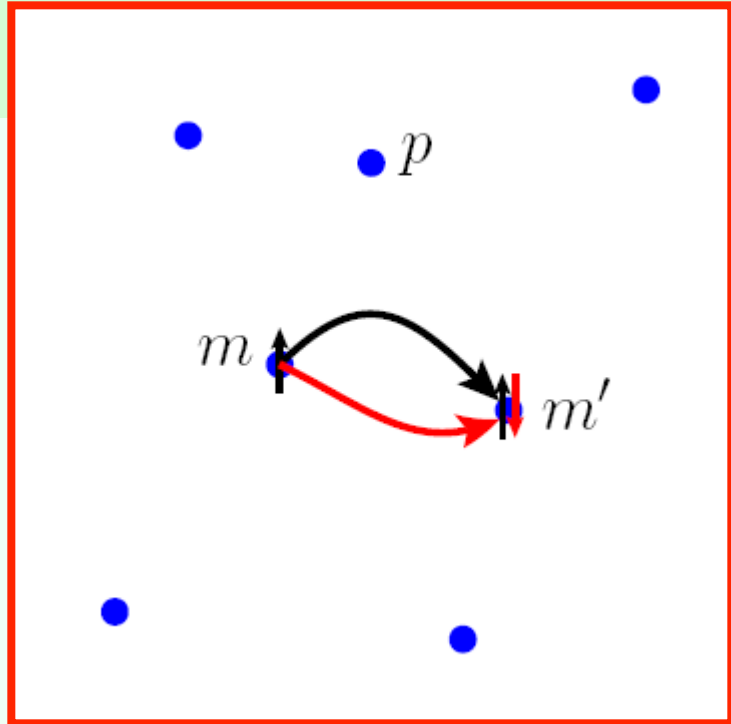
Model for impurity-band conduction (Matsubara & Toyozawa)



$$H = T + \sum_p V_p$$

$$V_p = -\frac{e^2}{\epsilon|\mathbf{r}-\mathbf{R}_p|} \text{ — impurity potential}$$

$$(T + V_P) |p\rangle = \epsilon_0 |p\rangle \text{ — hydrogenic states}$$

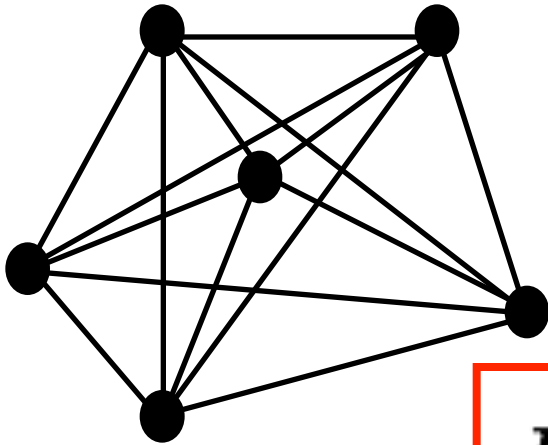


Working in the basis of impurity states

$$t_{mm'} = \langle m' | V_{m'} | m \rangle + \sum_{p \neq m, m'} \langle m' | V_p | m \rangle$$

$$\langle m' | V_{m'} | m \rangle = -\frac{e^2}{\epsilon a} (1 + R_{mm}^*) e^{-R_{mm}^*} \text{ — dominates transport}$$

Problem of a random quantum network



$\mathbf{r}_m, \mathbf{r}_n$: random positions
of sites m, n

$$H = \sum_{m \neq n} V(\mathbf{r}_m - \mathbf{r}_n) |m\rangle \langle n|$$

Diffusion given by the long-time behavior of the probability density

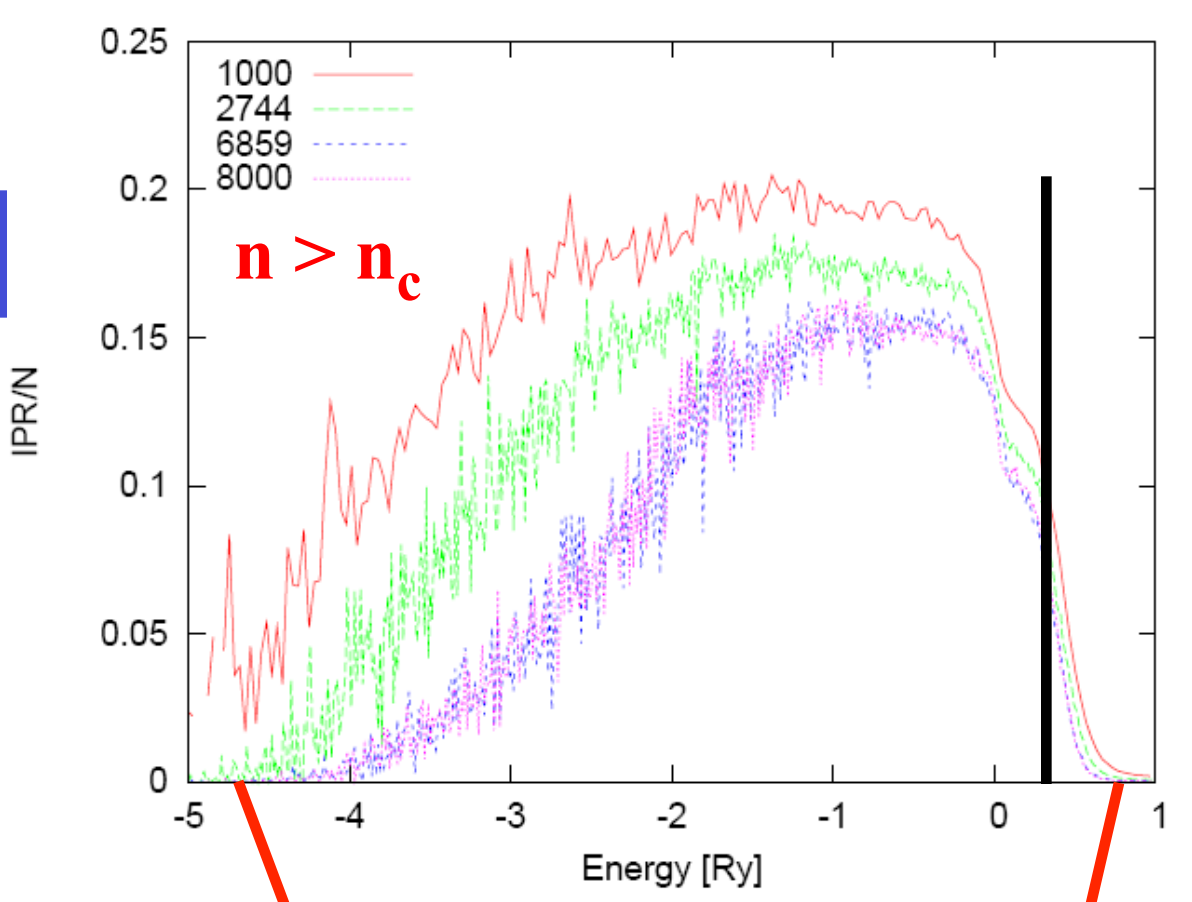
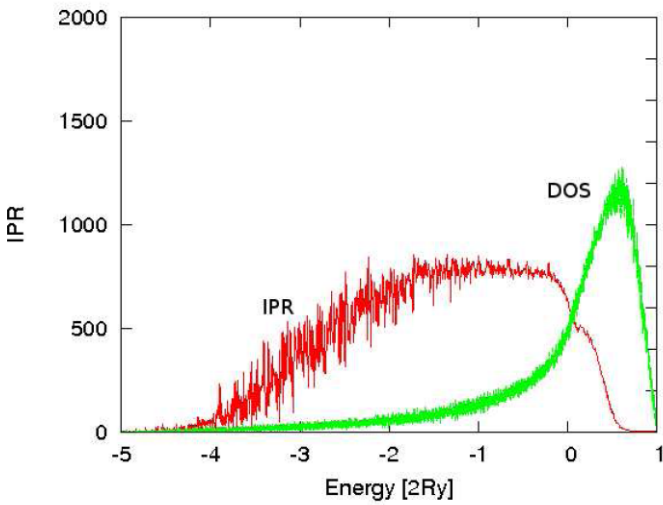
$$P(\mathbf{r}', \mathbf{r}, t) = \overline{\sum_{mn} \langle m | e^{-iHt/\hbar} | n \rangle \langle n | e^{iHt/\hbar} | m \rangle \delta(\mathbf{r}' - \mathbf{r}_m) \delta(\mathbf{r} - \mathbf{r}_n)}$$

E. Akkermans and G. Montambaux, Mesoscopic Physics (2007)

Extended and localized states in the impurity-band

$$n_c^{1/3} a_B \approx 0.25$$

$$\mathcal{N}_i = n_i a^3 \approx 0.017$$



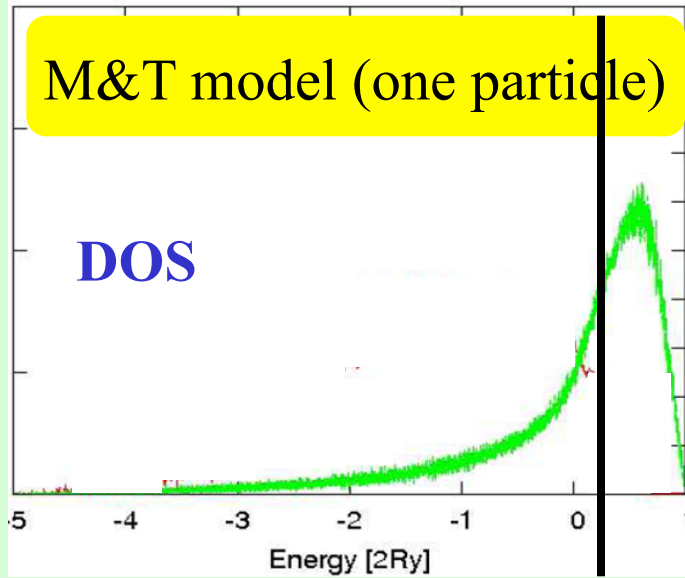
extended states

localized states

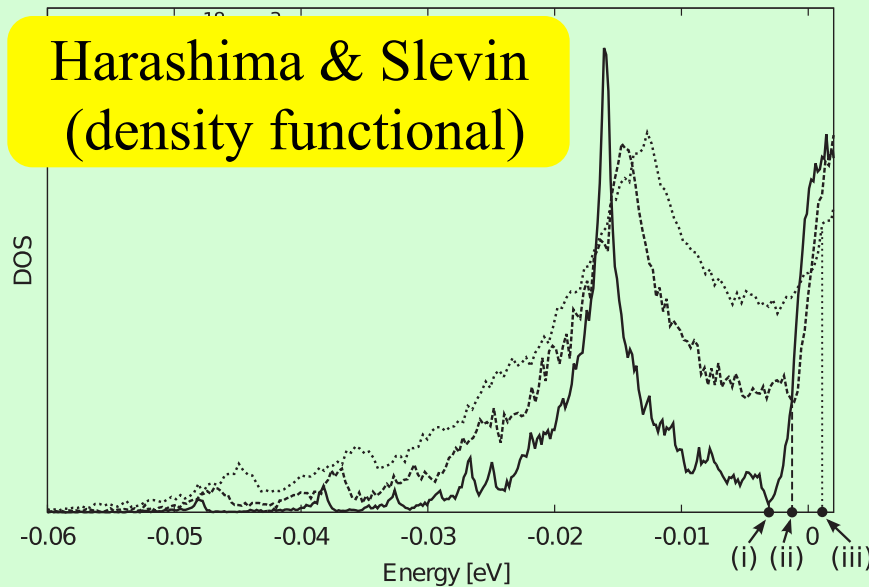
$$IPR = \left[\frac{\sum_m |\langle \phi_m | \psi_i \rangle|^4}{(\sum_m |\langle \phi_m | \psi_i \rangle|^2)^2} \right]^{-1}$$

Impurity band: one vs. many particle models

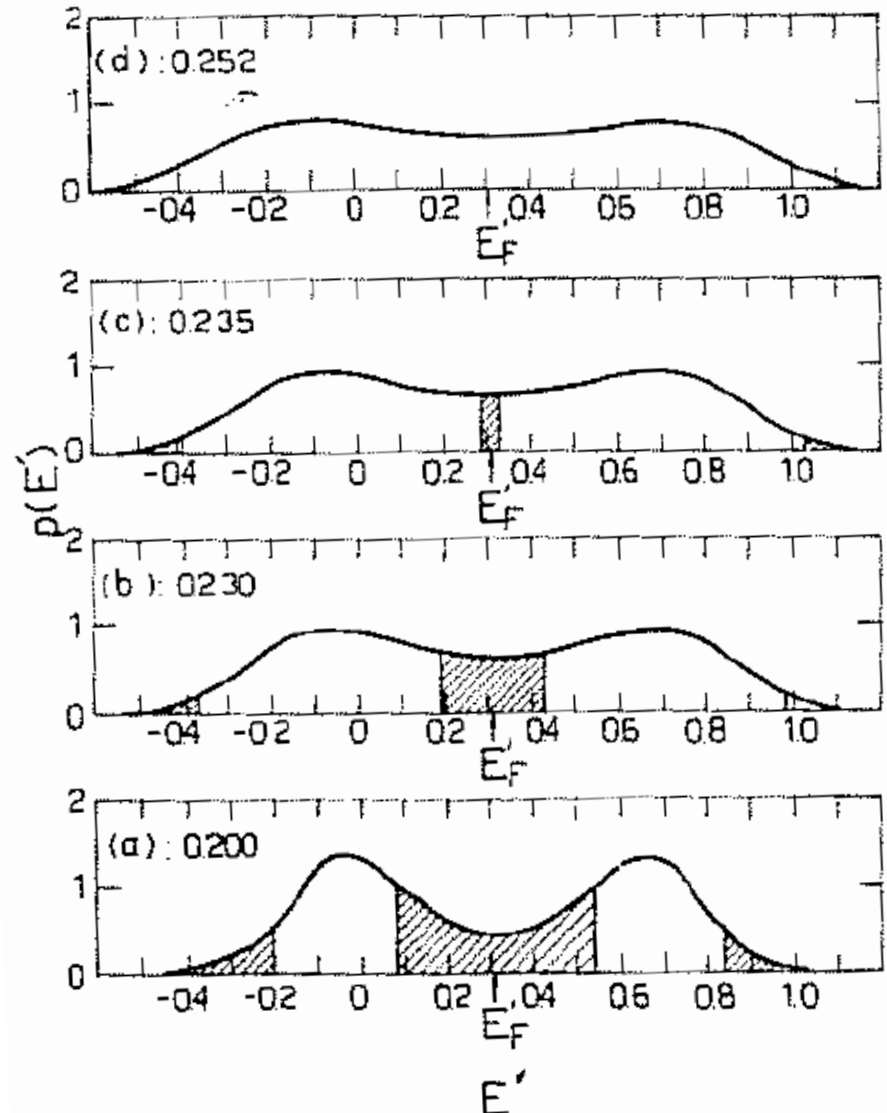
M&T model (one particle)



Harashima & Slevin
(density functional)



Economou (Hubbard + mean field)



Effective Hamiltonian for zinc-blende semiconductors

$$H = H_0 + H_{SIA} + H_{BIA}$$

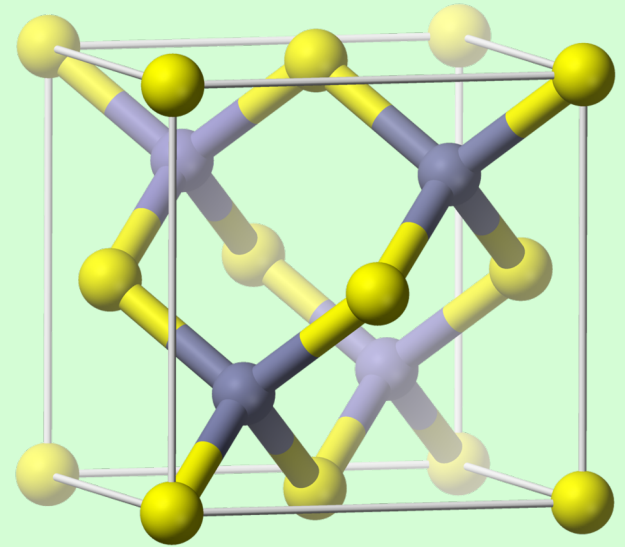
extrinsic coupling:

$$H_{SIA} = \lambda \boldsymbol{\sigma} \cdot \nabla V_{imp} \times \mathbf{k}$$

\mathbf{k} : momentum operator

intrinsic coupling (Dresselhaus):

$$H_{BIA} = \gamma [\sigma_x k_x (k_y^2 - k_z^2) + \text{cyclic permutations}]$$



$$\lambda \approx -5.3 \text{ \AA}^2 \text{ (GaAs)}$$

$$\gamma = 27 \text{ eV \AA}^3 \text{ (GaAs)}$$

Impurity band with spin-orbit interaction

$$\mathcal{H} = \sum_{m' \neq m} \sum_{\sigma' \sigma} \mathcal{V}^{\sigma', \sigma}(\mathbf{r}_{m'} - \mathbf{r}_m) |m' \sigma'\rangle \langle m \sigma|$$

Hopping matrix: $\mathcal{V}(\mathbf{r}) = \begin{pmatrix} \mathcal{V}_0(\mathbf{r}) + i\mathcal{C}_z(\mathbf{r}) & i\mathcal{C}_x(\mathbf{r}) + \mathcal{C}_y(\mathbf{r}) \\ i\mathcal{C}_x(\mathbf{r}) - \mathcal{C}_y(\mathbf{r}) & \mathcal{V}_0(\mathbf{r}) - i\mathcal{C}_z(\mathbf{r}) \end{pmatrix}$

- spin-independent:

$$\mathcal{V}_0(\mathbf{r}) = -V_0 \left(1 + \frac{r}{a}\right) e^{-r/a}$$

- spin-dependent:
(Dresselhaus)

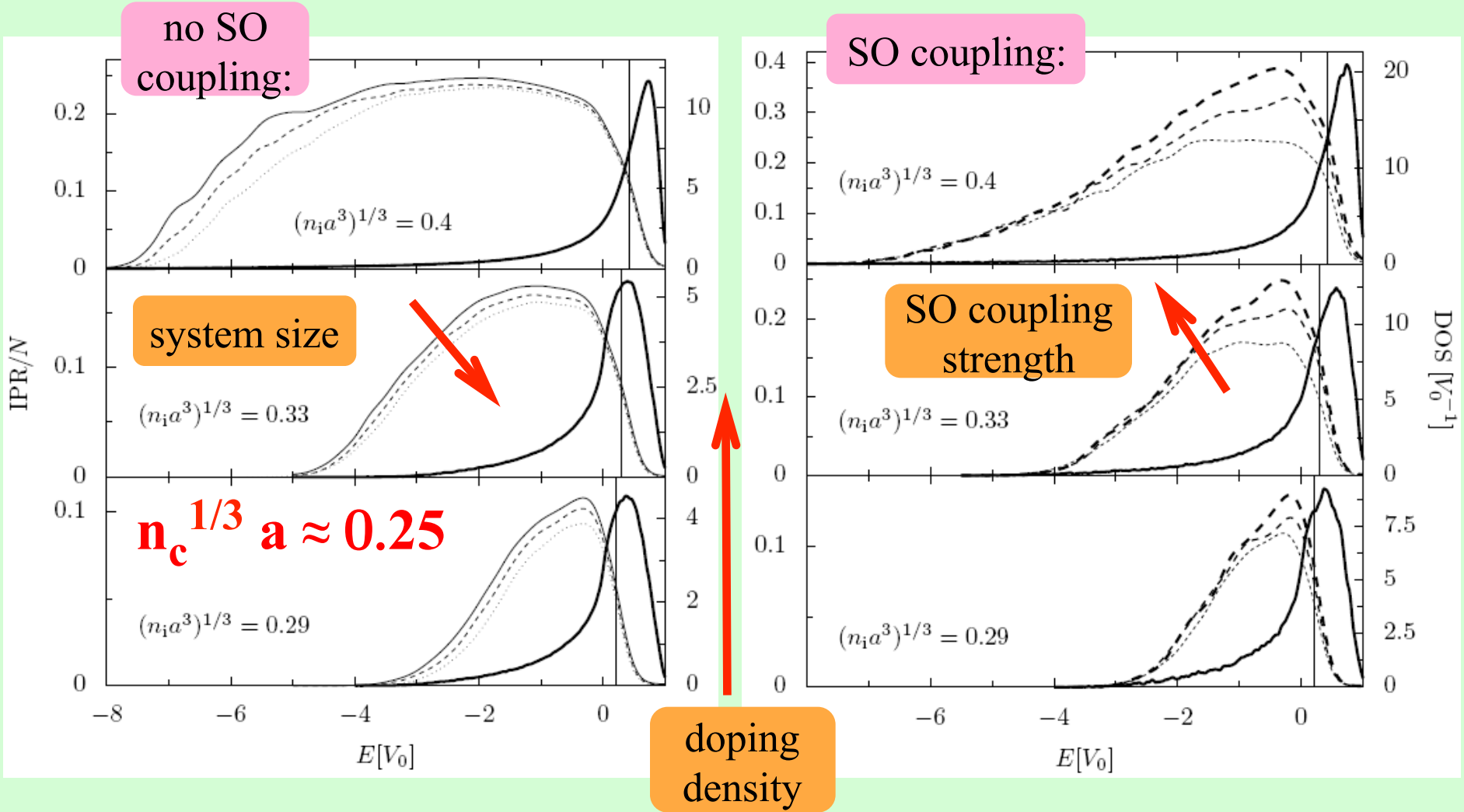
$$\mathcal{C}_x(\mathbf{r}) = -\frac{\gamma}{3a^5 r} x (y^2 - z^2) e^{-r/a}$$
$$\mathcal{C}_{y,z}(\mathbf{r}) : \text{cyclic permutations}$$

$$\mathbf{r} = (x, y, z)$$

The hopping matrix is “para-odd” $\mathcal{V}(-\mathbf{r})\mathcal{V}(\mathbf{r}) = c(\mathbf{r}) \mathbb{I}_2$

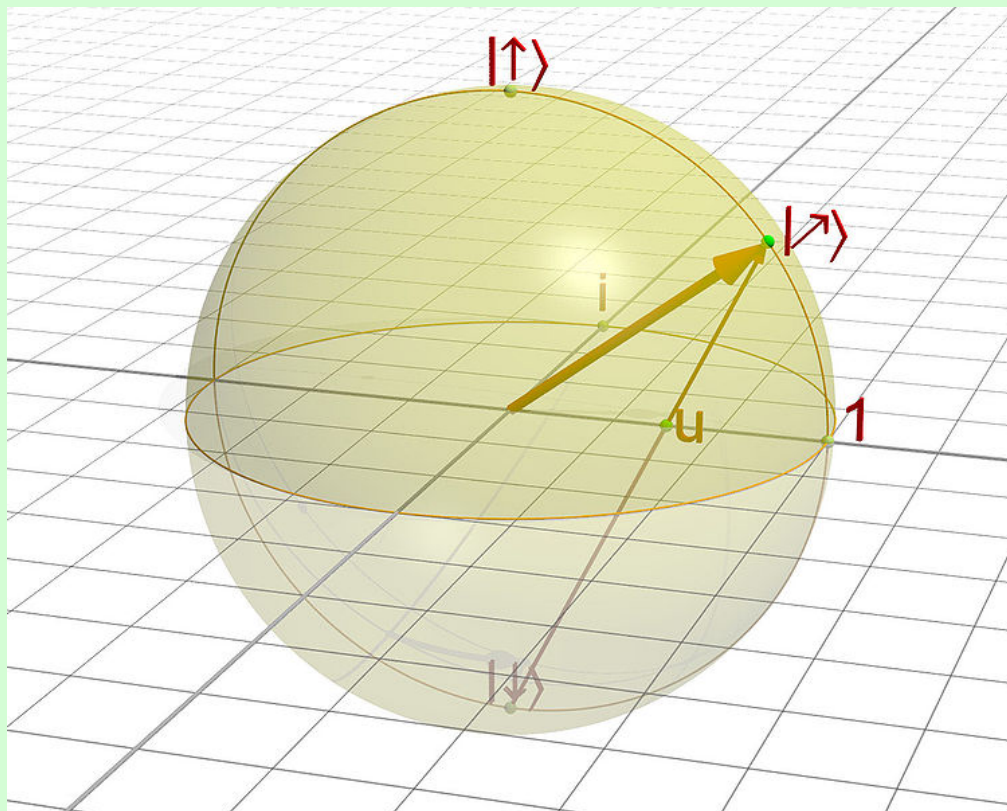
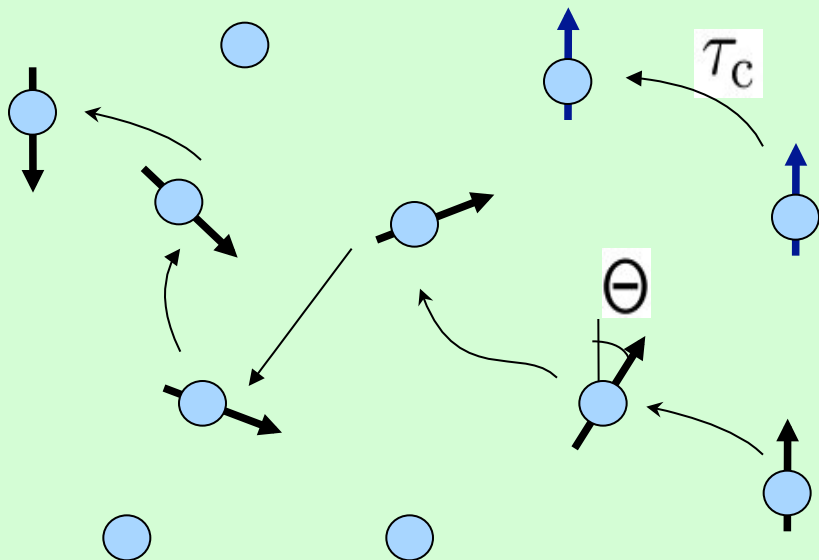
Dresselhaus spin-orbit coupling dominant for semiconductors with zinc-blende crystal structure (*G. Intronati, P. Tamborenea, D. Weinmann, R.A.J., PRL 2012*)

SO induced delocalization in the impurity-band



SO coupling } does not considerably alter the DOS
delocalizes by moving the mobility edge

Charge and spin diffusion: phenomenological approach



hopping rate:

$$\frac{1}{\tau_c} = \frac{\sqrt{2}}{\hbar} \left(\sum_{m \neq m'} |\langle m' \sigma | H_0 | m \sigma \rangle|^2 \right)^{1/2} \simeq \frac{\sqrt{14\pi} V_0}{\hbar} \mathcal{N}_i^{1/2}$$

$$\mathcal{N}_i = n_i a^3$$

Spin diffusion on the Bloch sphere

Evolution of the probability density for an initial condition at the north pole

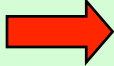
$$\rho(\theta, t) = \sum_{n=0}^{\infty} \frac{2n+1}{4\pi} \exp\left[-\frac{1}{4}n(n+1)U(t)\right] P_n(\cos\theta)$$

$$U(t) = (t/\tau_c)\langle\alpha^2\rangle$$

Typical spin-rotation angle per hop:

$$\langle\alpha^2\rangle \propto \left(\frac{\gamma}{a^3V_0}\right)^2$$

$$\langle S_z(t) \rangle = \int d\Omega \cos\theta \rho(\theta, t) = \exp\left[-\frac{1}{2} \frac{\langle\alpha^2\rangle t}{\tau_c}\right]$$

spin relaxation rate: 

$$\frac{1}{\tau_s} = \frac{1}{2} \frac{\langle\alpha^2\rangle}{\tau_c} = 0.36 \frac{\gamma^2}{a^6 V_0 \hbar} \mathcal{N}_i^{1/2}$$

Charge and spin diffusion: self-consistent approach

- Green function:

$$g_{m',m}^{\sigma',\sigma(\pm)}(\varepsilon) = \langle m'\sigma' \left| \frac{1}{\varepsilon \pm i\eta - \mathcal{H}} \right| m\sigma \rangle$$

- Average local Green function:

$$G^{\sigma',\sigma(\pm)}(\varepsilon) = \overline{\langle m\sigma' \left| \frac{1}{\varepsilon \pm i\eta - \mathcal{H}} \right| m\sigma \rangle} = \delta_{\sigma',\sigma} G^{(\pm)}(\varepsilon)$$

\rightsquigarrow **density of states** $\rho(\varepsilon) = -\frac{n_i}{\pi} \text{Im} \left\{ G^{(+)}(\varepsilon) \right\}$

- Two-point Green function (intensity propagator):

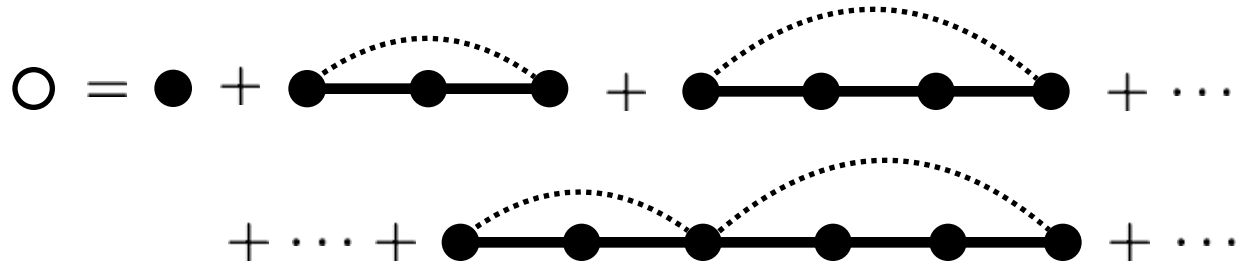
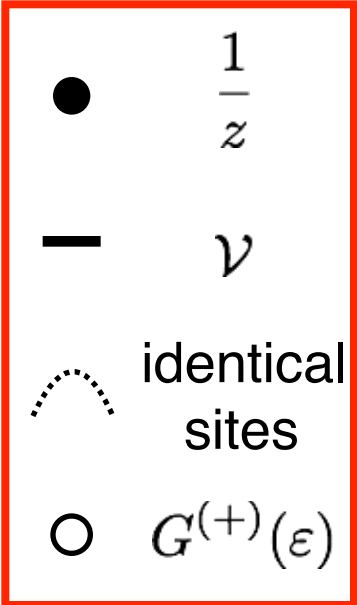
$$\Phi^{\sigma'_1\sigma'_2,\sigma_1\sigma_2}(\varepsilon, \omega, \mathbf{r}) = \overline{\sum_{m'} g_{m',m}^{\sigma'_1,\sigma_1(+)} \left(\varepsilon + \frac{\hbar\omega}{2} \right) g_{m,m'}^{\sigma_2,\sigma'_2(-)} \left(\varepsilon - \frac{\hbar\omega}{2} \right) \delta(\mathbf{r} - \mathbf{r}_{m'm})}$$

\rightsquigarrow **charge and spin dynamics**

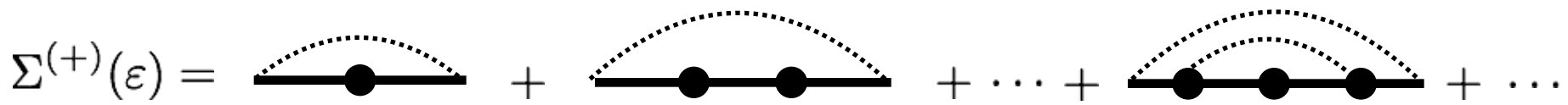
$$\mathbf{r}_{m'm} = \mathbf{r}_{m'} - \mathbf{r}_m$$

Average local Green function and self-energy

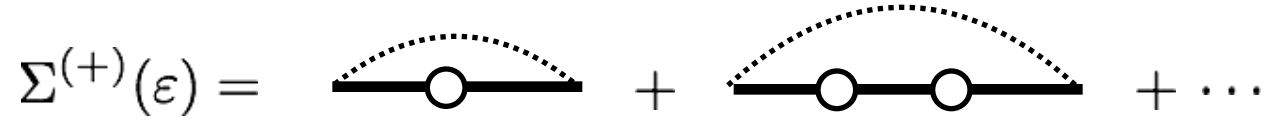
Locator expansion: $\frac{1}{z - \mathcal{H}} = \frac{1}{z} + \frac{1}{z} \mathcal{H} \frac{1}{z} + \frac{1}{z} \mathcal{H} \frac{1}{z} \mathcal{H} \frac{1}{z} + \dots$ $z = \varepsilon + i\eta$



○ = $\frac{1}{\varepsilon - \Sigma^{(+)}(\varepsilon)}$ **Dyson equation**



Self-consistent approach: replace $\frac{1}{z}$ by $G^{(+)}(\varepsilon)$



Average intensity propagator

Bethe-Salpeter equation for $\Phi = \overline{g^{(+)}g^{(-)}}$

upper line: $g_0^{(+)}$
lower line: $g_0^{(-)}$

$$\tilde{\Phi}(\varepsilon, \omega, \mathbf{q}) = \frac{1}{[G^{(+)}(\varepsilon + \hbar\omega/2) G^{(-)}(\varepsilon - \hbar\omega/2)]^{-1} - \tilde{U}(\varepsilon, \omega, \mathbf{q})}$$

Symmetry restrictions at $\mathbf{q}=0$

$$\tilde{U}(\varepsilon, \omega, 0) = \begin{pmatrix} \tilde{u}_1(\varepsilon, \omega) & 0 & 0 & \tilde{u}_2(\varepsilon, \omega) \\ 0 & \tilde{u}_1(\varepsilon, \omega) - \tilde{u}_2(\varepsilon, \omega) & 0 & 0 \\ 0 & 0 & \tilde{u}_1(\varepsilon, \omega) - \tilde{u}_2(\varepsilon, \omega) & 0 \\ \tilde{u}_2(\varepsilon, \omega) & 0 & 0 & \tilde{u}_1(\varepsilon, \omega) \end{pmatrix}$$

spin relaxation rate

Charge and spin dynamics

$$P^{\sigma'\sigma}(\varepsilon, t, \mathbf{r}) = \frac{n_i}{\rho(\varepsilon)} \frac{\hbar}{2\pi} \int_{-\infty}^{+\infty} d\omega e^{-i\omega t} \Phi^{\sigma'\sigma, \sigma\sigma}(\varepsilon, \omega, \mathbf{r})$$

• Spin relaxation: $\int d\mathbf{r} P^{\sigma\sigma}(\varepsilon, t, \mathbf{r}) \xrightarrow{t \rightarrow \infty} \frac{1}{2} \left(1 + e^{-t/\tau_s(\varepsilon)}\right)$

with **rate**:

$$\frac{1}{\tau_s(\varepsilon)} = \frac{4\pi\rho(\varepsilon)}{\hbar n_i} \tilde{u}_2(\varepsilon, 0)$$

• Spatial diffusion: $\sum_{\sigma'} \tilde{P}^{\sigma'\sigma}(\varepsilon, \omega, \mathbf{q}) \xrightarrow{\omega, q \rightarrow 0} \frac{1}{-i\omega + q^2 \mathcal{D}(\varepsilon)}$

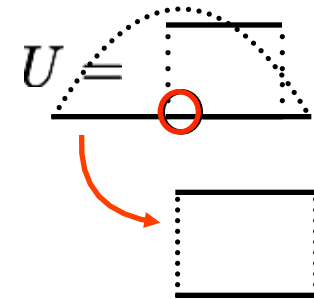
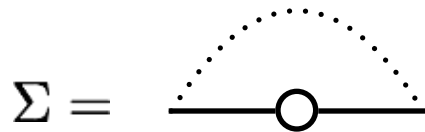
with **diffusion constant**:

$$\mathcal{D}(\varepsilon) = -\frac{\pi\rho(\varepsilon)}{\hbar n_i} \partial_{q_\mu}^2 \left(\tilde{U}^{++,++}(\varepsilon, 0, \mathbf{q}) + \tilde{U}^{++,--}(\varepsilon, 0, \mathbf{q}) \right) \Big|_{\mathbf{q}=0}$$

Self-consistent scheme to get U from Σ

D. Vollhardt and P. Wölfle, PRB 22, 4666 (1980)

Ward identity (ensures conservation of the norm)



- 1) select and remove each Green function from Σ_0
- 2) fold left parts to lower line

Three levels of approximation i)-ii)

i) simplest (SSCA):

$$\Sigma = \text{---} \circ \text{---} \overset{\cdot\cdot\cdot}{\text{---}} \text{---}$$

$$U = \text{---} \square \text{---}$$

hop from one impurity to another one, and back again

ii) loop corrected (LCSCA):

$$\begin{aligned} \Sigma &= \text{---} \circ \text{---} \overset{\cdot\cdot\cdot}{\text{---}} \text{---} + \text{---} \circ \text{---} \circ \text{---} \overset{\cdot\cdot\cdot}{\text{---}} \text{---} + \dots \\ &= \text{---} \circ \text{---} \text{---} \end{aligned}$$

$$U = \text{---} \square \text{---}$$

renormalised hopping amplitude:

$$\text{---} = \text{---} + \text{---} \circ \text{---} + \text{---} \circ \text{---} \circ \text{---} + \dots$$

high-density limit: *T. Matsubara and Y. Toyozawa, Prog. Theoret. Phys. (1961)*

Three levels of approximation iii)

iii) repeated-scattering corrected (RSCSCA), crossed terms:

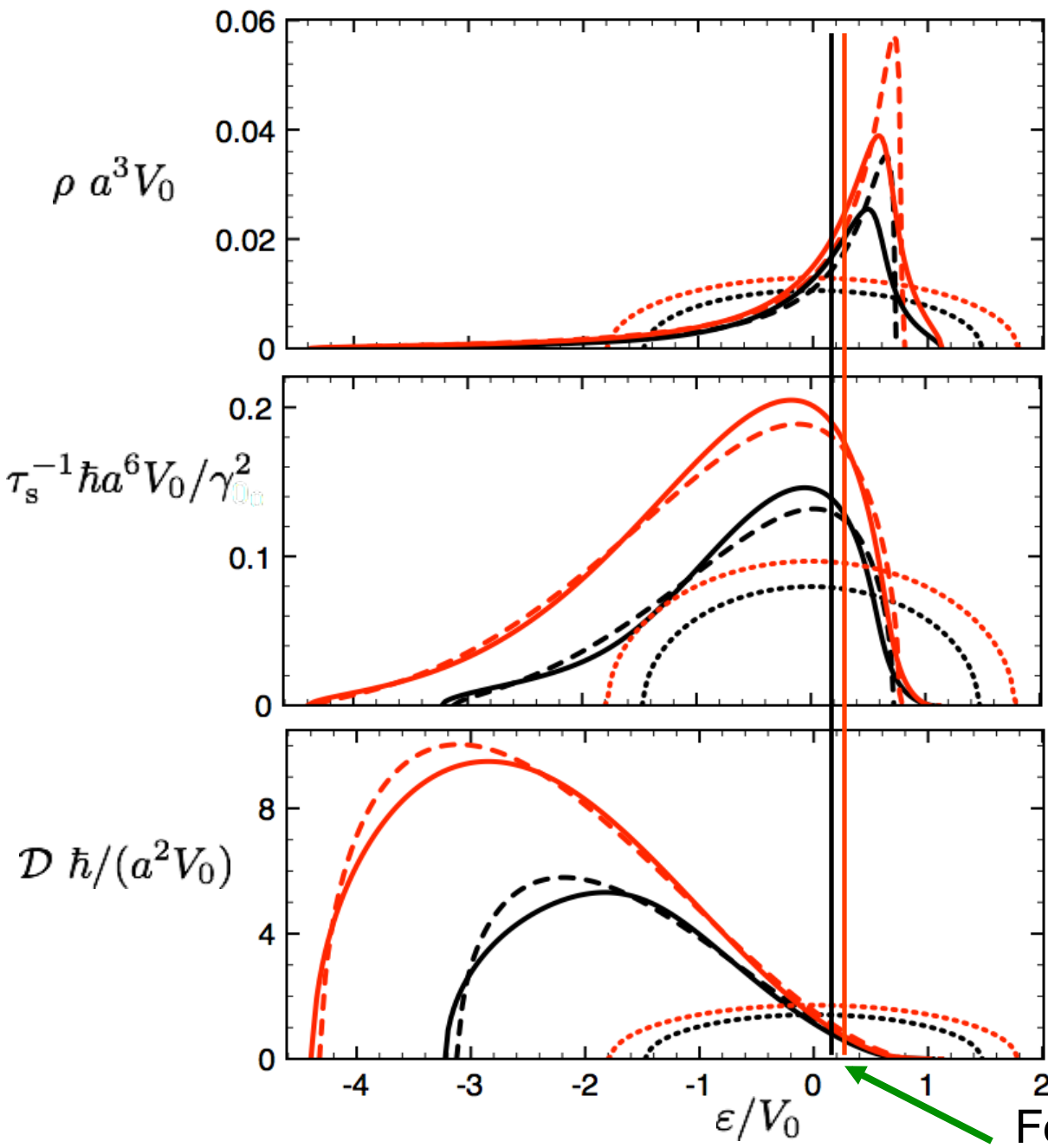
$$\Sigma = \text{[diagram 1]} + \text{[diagram 2]} + \text{[diagram 3]} + \dots$$

low-density limit: *P. V. Elyutin, J. Phys. C (1981)*

$$U = \text{a)} + \text{b)} + \text{c)} + \text{d)} + \dots$$

$$+ \text{e)} + \text{f)} + \text{g)} + \text{h)} + \dots$$

DOS, spin relaxation rate, diffusion coefficient



$$\mathcal{N}_i = n_i a^3$$

0.29³ 0.33³

- SSCA
- - - - - - LCSCA
- — RSCSCA

$(\gamma \ll a^3 V_0)$

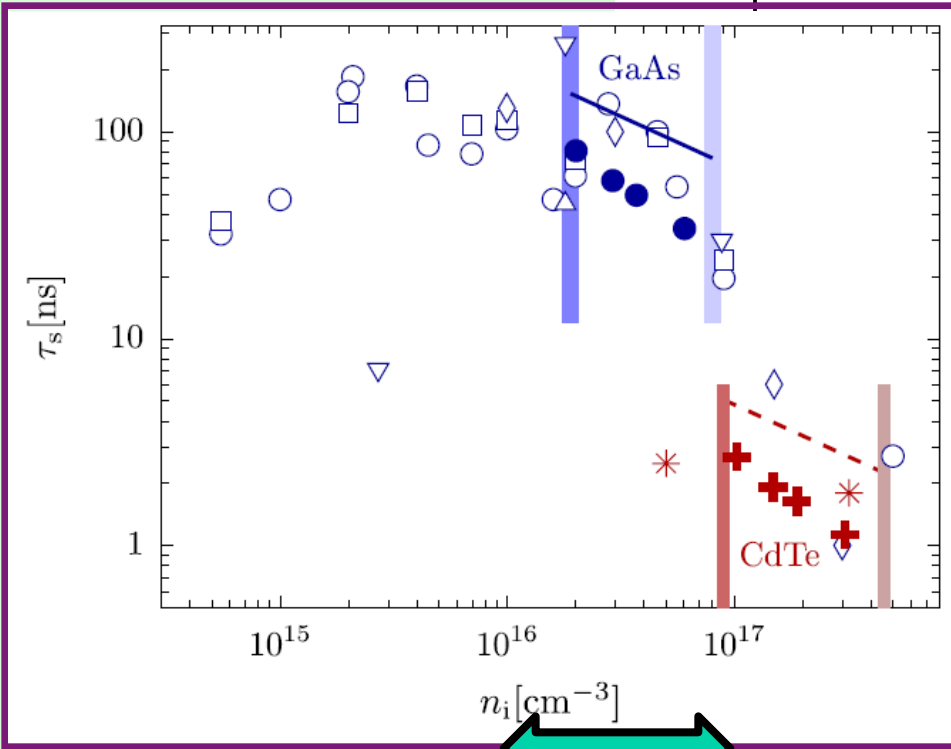
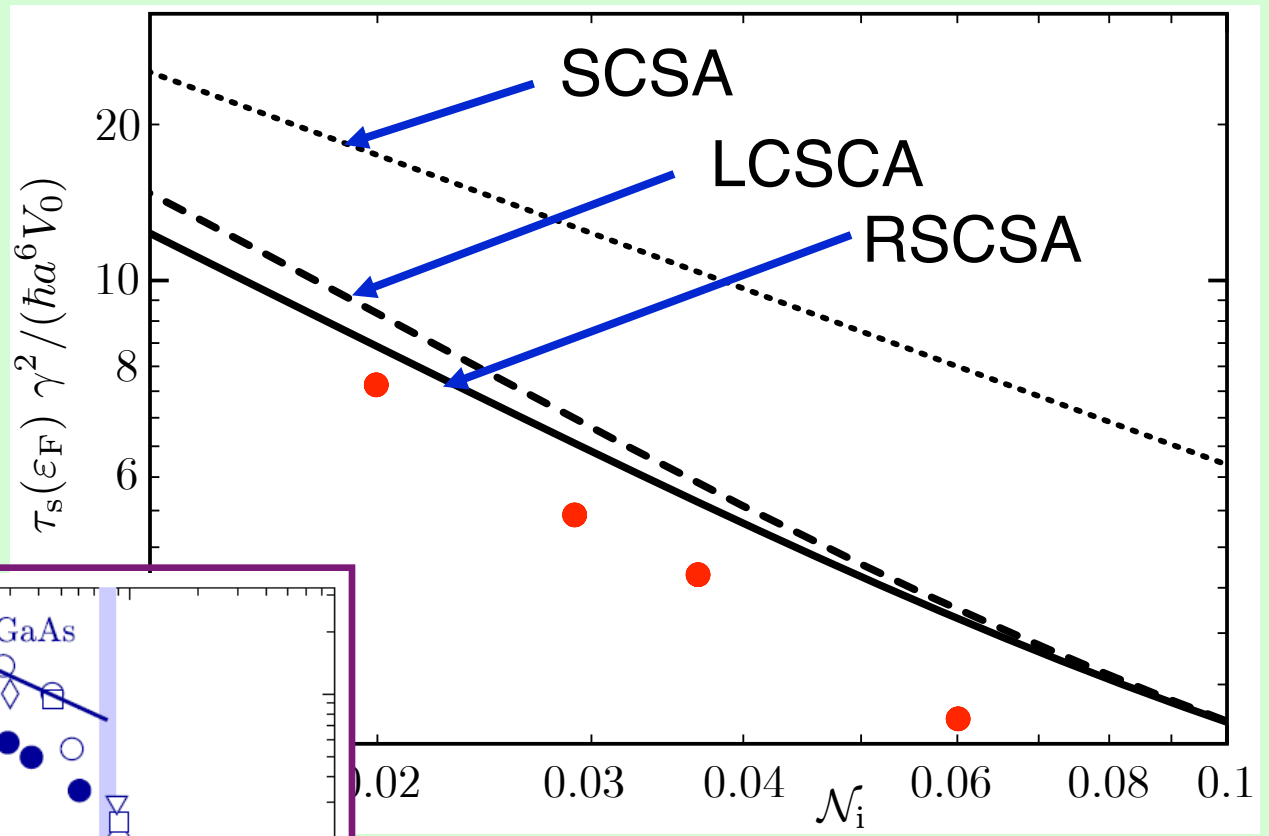
- ρ density of states
- τ_s^{-1} spin relaxation rate
- \mathcal{D} diffusion constant

Fermi energy

Spin relaxation time: self-consistent theory vs. numerics

● numerics

SCSA $\tau_s \propto \frac{1}{\sqrt{n_i}}$



*T. Wellens & R.A.J.,
PRB 94, 144209 (2016)*

Conclusions

- Spin-orbit interaction (**Dresselhaus**) in the impurity band of **n-doped zinc-blend** semiconductors.
- Numerics, phenomenological and self-consistent theories.
- Resulting spin relaxation times in **good agreement** with existing **experiments** in **GaAs** and **CdTe**.
- **Self-consistent theory** for the spin and spatial diffusion:
 - average of one-particle and two-particle Green functions;
 - **SSCA**: simplest self-consistent approximation scheme, reproduces the phenomenological results;
 - **LCSCA** and **RSCSCA**: loop-corrected and crossed terms, good agreement with the numerical results.

G. Intronati, P. Tamborenea, D. Weinmann, R.A.J., PRL 2012

T. Wellens R.A.J., PRB 94, 144209 (2016)

Insulating regime (below n_c)

- Deeply localized regime: Hyperfine interaction



ω_p : spin precession frequency in the local field

τ_c : dwell time in the localization domain

$$\langle \varphi^2 \rangle = \omega_p^2 \tau_c t \quad \text{diffusion of the spin vector}$$

Spin lifetime τ_s

$$\frac{1}{\tau_s} = \frac{2}{3} \langle \omega_p^2 \rangle \tau_c$$

**motional
narrowing**

- Localized regime: Anisotropic exchange

$$\frac{1}{\tau_s} = \frac{2}{3} \langle \gamma^2 \rangle \frac{1}{\tau_c}$$

γ : spin rotation angle of total spin of two electrons during exchange process

Normal diffusion:

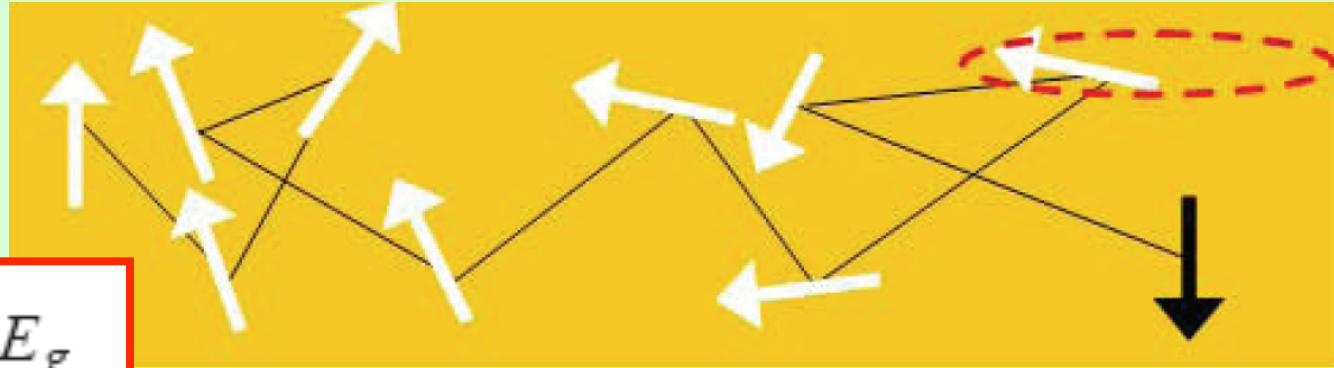
$$\langle R^2 \rangle = \frac{l^2 t}{\tau_c} = v_F^2 \tau_c t$$

Metallic regime (above n_c)

- D'yakonov-Perel mechanism:

spin-orbit interaction & absence of inversion symmetry

➔ electrons see \mathbf{k} -dependent effective B field



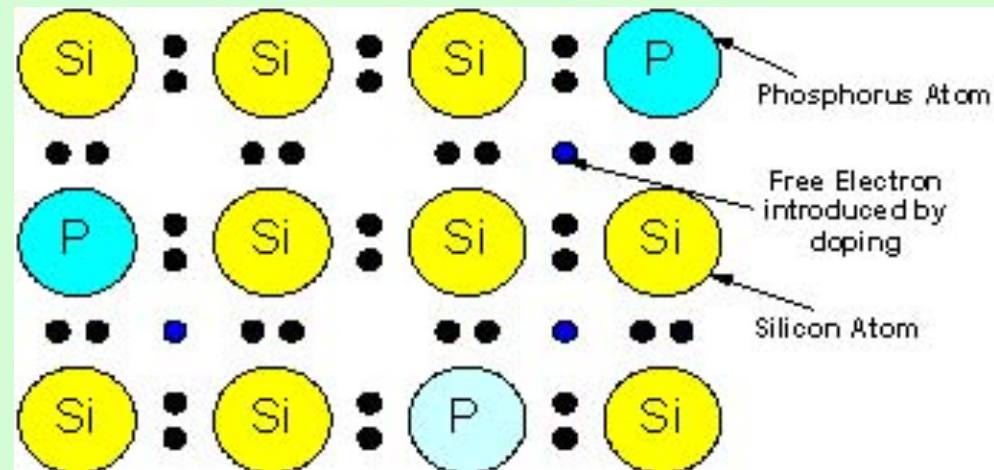
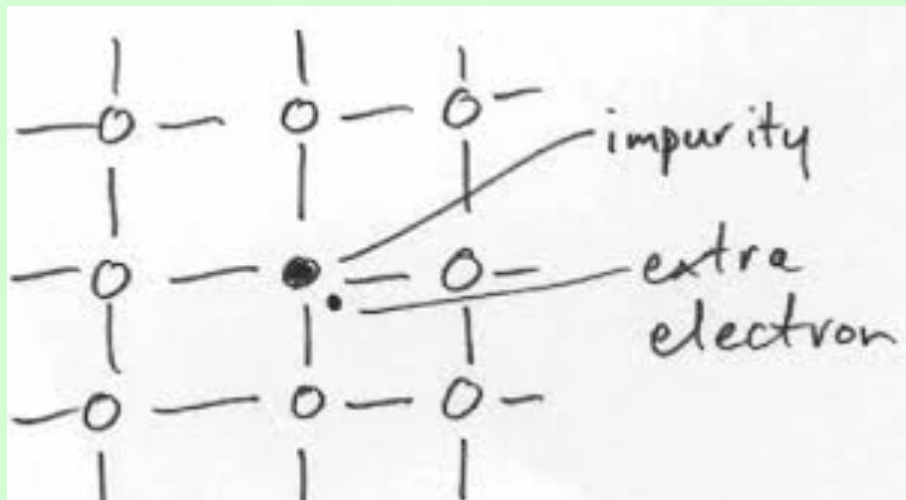
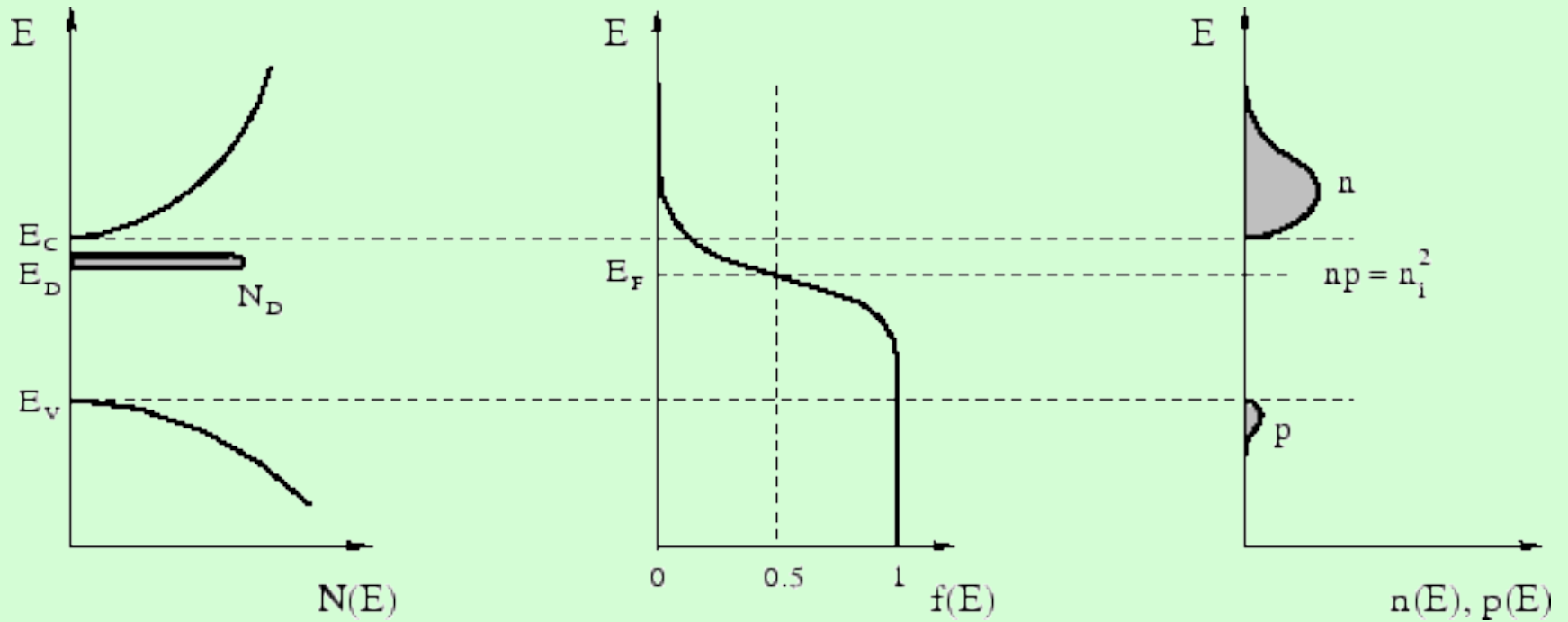
$$\tau_S = \frac{315}{16} \alpha^{-2} \frac{\hbar^2 E_g}{E^3 \tau_p(E)}$$

motional narrowing

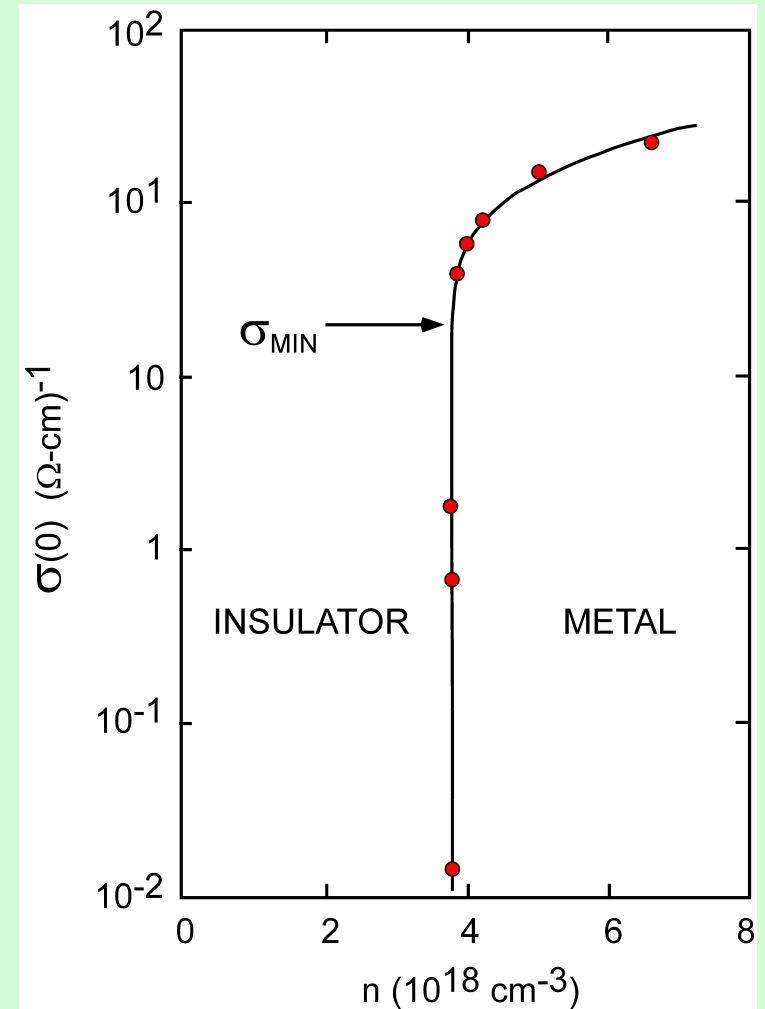
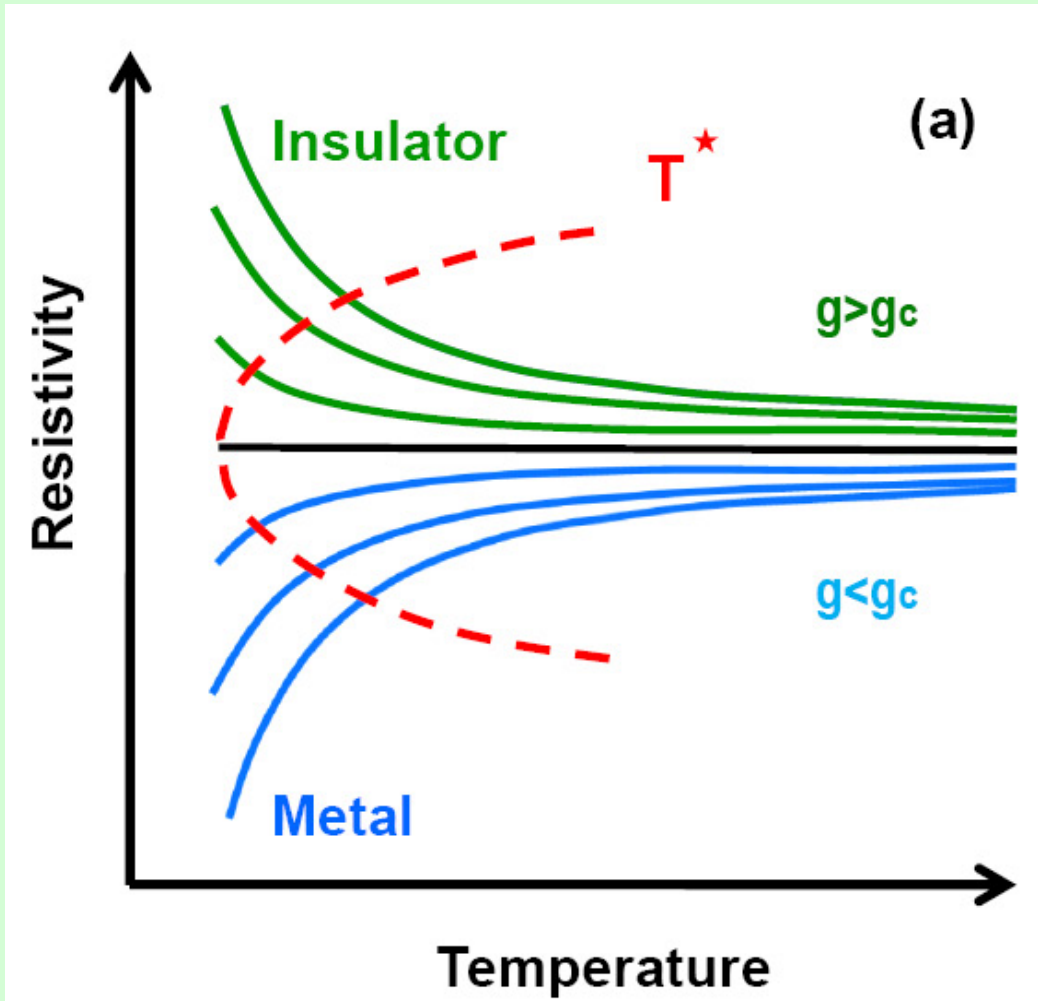
$\tau_p(E_F)$: momentum relaxation time (**impurity scattering**)

OK for electrons in the conduction band but
not for electrons in impurity band

Impurity states and impurity band



MIT in doped semiconductors



Impurity band conduction for GaAs: $n_c < n < n_h$

$$n_c \approx 2 \times 10^{16}\text{ cm}^{-3}$$

$$n_h \approx 8 \times 10^{16}\text{ cm}^{-3}$$

Numerical simulations

- two difficulties: $\left\{ \begin{array}{l} \bullet \text{ finite size effects} \\ \bullet \text{ small value of the SO coupling} \end{array} \right.$

our approach:

- $\left\{ \begin{array}{l} \bullet \text{ extrapolate to } N \rightarrow \infty \\ \bullet \text{ work with an enhanced coupling } \eta\gamma \end{array} \right.$

spin survival probability

